

TRANSMITTAL LETTER
(General - Patent Pending)

Docket No.
113197-010

In Re Application Of: Iijima, Y., et al.

AUG 15 2001

Serial No.
09/857,912

Filing Date
June 11, 2001

Examiner
Unknown

Group Art Unit
1751

Title: Polycrystalline Thin Film and Method of Producing the Same and Oxide Superconductor and Method of Producing the Same

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Transmitted herewith is:

Request for Corrected Filing Receipt; Corrected Filing Receipt; Return Receipt Postcard

RECEIVED
JAN 03 2002
TC 1700

in the above identified application.

- ☐ No additional fee is required.
- ☐ A check in the amount of _____ is attached.
- ☒ The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. 02-1818 as described below. A duplicate copy of this sheet is enclosed.
- ☐ Charge the amount of _____
- ☒ Credit any overpayment.
- ☒ Charge any additional fee required.

RECEIVED
NOV 07 2001
TC 1700


Signature

Dated: August 13, 2001

Michael S. Leonard (Reg. No. 37,557)
Bell, Boyd & Lloyd LLC
P.O. Box 1135
Chicago, Illinois 60690-1135
312 807-4270

I certify that this document and fee is being deposited on August 13, 2001 with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.


Signature of Person Mailing Correspondence

Robert J. Buccieri

Typed or Printed Name of Person Mailing Correspondence

CC:



4

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Iijima, Y., et al.

Atty Docket No.: 113197-010

Serial No.: 09/857,912

Group Art Unit: 1751

Filed: June 11, 2001

Examiner: Unknown

For: "POLYCRYSTALLINE THIN FILM AND METHOD OF PRODUCING THE SAME AND OXIDE SUPERCONDUCTOR AND METHOD OF PRODUCING THE SAME"

ASSISTANT COMMISSIONER FOR PATENTS

WASHINGTON, D.C. 20231

REQUEST FOR CORRECTED FILING RECEIPT

1. Attached is a copy of the official filing receipt received from the PTO in the above application for which issuance of a corrected filing receipt is respectfully requested.

2. There is an error in that the following data is:

☒ [X] incorrectly entered

and/or

☐ [] omitted

☐ [] Inventor

☐ [] Applicants

☒ [X] Title

☐ [] Attorney Docket No.

☐ [] Serial Number

☐ [] Total Claims and/or Independent Claims

☐ [] Other -

in that the filing receipt should read as follows:

**POLYCRYSTALLINE THIN FILM AND METHOD OF PRODUCING THE SAME AND
OXIDE SUPERCONDUCTOR AND METHOD OF PRODUCING THE SAME**

Enclosed for the Patent Office's convenience is a copy of the Filing Receipt.

RECEIVED
NOV 07 2001
TC 1700

RECEIVED
JAN 03 2002
TC 1700

Complete the following applicable item A or B)


A. ☒ The correction is not due to any error by Applicants and no fee is due.

OR

B. ☐ The correction is due to Applicant's error and the fee therefor under 37 CFR 1.19(h) of \$25.00 is paid as follows:

☐ Enclosed is a check for \$25.00.

☒ The Commissioner is hereby authorized to charge any additional fees which may be required to Account No. 02-1818.

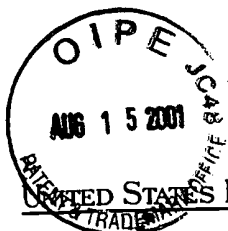
 (Reg. No. 37,557)

Michael S. Leonard
Bell, Boyd & Lloyd LLC
P.O. Box 1135
Chicago, IL 60690-1135
Tel: (312) 807-4270

Receipt

JC03 Rec'd PCT/PTO

15 AUG 2001



UNITED STATES PATENT AND TRADEMARK OFFICE

 COMMISSIONER FOR PATENTS
 UNITED STATES PATENT AND TRADEMARK OFFICE
 WASHINGTON, D.C. 20231
 www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/857,912	06/11/2001	1751	940	113197-010	11	6	4

CONFIRMATION NO. 2711

FILING RECEIPT



OC00000006386809

 Robert M Barrett
 Bell Boyd & Lloyd
 PO Box 1135
 Chicago, IL 60690-1135

 RECEIVED
 JAN 03 2002
 TC 1700

Date Mailed: 08/06/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

 Yasuhiro Iijima, Tokyo, JAPAN;
 Mariko Kimura, Tokyo, JAPAN;
 Takashi Saito, Tokyo, JAPAN;

 RECEIVED
 BELL, BOYD & LLOYD
 INTELLECTUAL PROPERTY DOCKET

Domestic Priority data as claimed by applicant

THIS APPLICATION IS A 371 OF PCT/JP00/07213 10/18/2000

AUG 09 2001 Tk

Foreign Applications

JAPAN 11-295977 10/18/1999

 ATTY: MSL
 DOCKET #: 113197-010

Projected Publication Date: N/A

Non-Publication Request: No

Early Publication Request: No

 RECEIVED
 NOV 07 2001
 TC 1700

Title

Polycrystalline thin film and ~~its production~~ ^{method of producing the same}, and oxide superconductor and method ~~for its~~ ^{of producing}
~~production the same~~

Data entry by : NGUYEN, SON

Team : OIPE

Date: 08/06/2001





**LICENSE FOR FOREIGN FILING UNDER
Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15**

RECEIVED
JAN 03 2002
TC 1700

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15 (b).

PLEASE NOTE the following information about the Filing Receipt:

- The articles such as "a," "an" and "the" are not included as the first words in the title of an application. They are considered to be unnecessary to the understanding of the title.
- The words "new," "improved," "improvements in" or "relating to" are not included as first words in the title of an application because a patent application, by nature, is a new idea or improvement.
- The title may be truncated if it consists of more than 500 characters (letters and spaces combined).
- The docket number allows a maximum of 25 characters.
- If your application was submitted under 37 CFR 1.10, your filing date should be the "date in" found on the Express Mail label. If there is a discrepancy, you should submit a request for a corrected Filing Receipt along with a copy of the Express Mail label showing the "date in."
- The title is recorded in sentence case.

Any corrections that may need to be done to your Filing Receipt should be directed to:

Assistant Commissioner for Patents
Office of Initial Patent Examination
Customer Service Center
Washington, DC 20231